



University of New Haven

Gift of Securities Transfer

Name of broker or financial advisor: _____

Brokerage or financial institution: _____

Broker phone number: _____ Broker Email: _____

From:
Donor's Name(s): _____

Donor's Address: _____

Donor's Phone: _____ Donor's Email: _____

I/we hereby authorize you to transfer the following securities from account name and number:

Stock name: 1) _____ # of Shares: _____ Basis _____

2) _____ # of Shares: _____ Basis _____

To be given to: University of New Haven Development Fund at UBS Financial Services
Account #LH27101 DTC number: 0221

_____ Unrestricted Gift

_____ Restricted Gift designated for: _____

Signature: (all stock owners must sign)

X _____ Date _____

X _____ Date _____

Please direct your broker to initiate the stock transfer according to the above instructions as the University cannot initiate the gift, and then email a copy of this authorization to the University's investment account manager:

Denise Rasicot, Sr. Registered Client Service Associate

UBS Financial Services, Inc.

Hartford, CT 06103

Phone: 203-498-3327

Email: denise.rasicot@UBS.com

The gift will be valued for recognition purposes at the average of the high and low prices of the day the stock(s) is received into our account at UBS Financial, regardless of the date of transfer or date of sale. The University does not deduct any administrative or transfer fees from that value. For tax purposes, please seek guidance from your tax professional in determining your deductible amount.

To ensure proper credit for your gift, please also email a copy of this authorization to gifts@newhaven.edu. For questions, please contact Ali Cranshaw, Assistant Vice President, Major Gifts and Development/Alumni Services, at acranshaw@newhaven.edu or 203-932-7080.

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